

## Participant Interface Circular

Circular No.: NSDL/POLICY/2014/0094 Date: August 28, 2014

Subject: Ticker on website for SMS Alerts facility.

All Participants are hereby informed that SEBI has vide its email dated August 28, 2014 advised that Participants which have their own website, should display the below mentioned ticker on their website w.e.f. Friday, September 5, 2014 in order to create awareness among Clients to subscribe for SMS alerts:

## **ATTENTION INVESTORS** (Static Text)

## **TICKER**

"Prevent Unauthorized Transactions in your demat account --> Update your Mobile Number with your Depository Participant. Receive alerts on your Registered Mobile for <u>all debit and other important transactions</u> in your demat account directly from NSDL on the same day......issued in the interest of investors."

Participants are requested to take note of above and ensure compliance.

For and on behalf of National Securities Depository Limited

Samar Banwat Senior Vice President

FORTHCOMING COMPLIANCE			
Particulars	Deadline	Manner of sending	Reference
Investor Grievance Report (Monthly)	By 10 <sup>th</sup> of the following month.	By email at <a href="mailto:dp-igreport@nsdl.co.in">dp-igreport@nsdl.co.in</a> Alternatively, by hard copy to the Investor Relationship Cell.	<ol> <li>Circular No. NSDL/PI/98/500 dated October 6, 1998.</li> <li>Circular No. NSDL/PI/2004/1688 dated September 16, 2004.</li> </ol>
Networth Certificate and Audited Financial Statements	September 30th every year.	By hard copy to Participant Interface Department.	Circular No. NSDL/PI/98/414 dated September 1, 1998.