

ACML CAPITAL MARKETS LIMITED (Formerly : ASE Capital Markets Limited)

CIN: U67120GJ2000PLC037431 Member: BSE & NSE, SEBI Regn. No.: INZ000260134 CDSL DP: 15200 & NSDL DP: IN302461 SEBI DP Regn. No.: IN-DP-427-2019 GSTIN: 24AADCA4629D1Z4 Regd. Office: Kamdhenu Complex, Opp. Sahajanand College, Nr. Panjara Pole, Ahmedabad-380015. Phone: (079) 26309619/20, 26309432/34, 26308875, 26303044 • Fax: 26301020 • Email: info@acml.in • Website: www.acml.in

Investor Complaints Data – Broker

Data for every month ending March-2024

S N	Received from	Carrie d forwar d from previo us month	Received during the month	Total Pendi ng	Resolve d*	Pending at the end of the month**		Average Resolution time^(in days)
						Pending for less than 3 months	Pending for more than 3 month s	
1	2	3	4	5	6	7		8
1	Directly from Investors	0	0	0	0	0		
2	SEBI(SCORES)	0	0	0	0	0		
3	Stock Exchanges	1	1	0	2	0		35
4	Other Sources (if any)	0	0	0	0	0		
5	Grand Total	1	1	0	2	0		35

Trend of monthly disposal of complaints

SN	Month	Carried forward	Received	Resolved*	Pending**
		from previous			
		month			
1	2	3	4	5	6
1	December- 2021	0	2	1	1
2	January - 2022	1	1	2	0
3	February - 2022	0	0	0	0
4	March - 2022	0	1	1	0
5	April - 2022	0	0	0	0
6	May - 2022	0	1	1	0
7	June - 2022	0	1	1	0
8	July – 2022	0	0	0	0
9	August – 2022	0	0	0	0
10	September - 2022	0	0	0	0
11	October – 2022	0	0	0	0
12	November – 2022	0	0	0	0
13	December – 2022	0	0	0	0
14	January – 2023	0	0	0	0
15	February – 2023	0	0	0	0
16	March – 2023	0	1	0	1
17	April – 2023	1	0	1	0
18	May – 2023	0	1	0	1
19	June – 2023	1	0	0	1
20	July – 2023	1	0	1	0
21	Aug – 2023	0	0	0	0
22	Sep – 2023	0	2	0	2
23	Oct – 2023	2	0	2	0
24	Nov – 2023	0	1	1	0
25	Dec – 2023	0	0	0	0
26	Jan – 2024	0	1	0	1
27	Feb – 2024	1	0	0	1
28	March-2024	1	1	2	0
	Grand Total	1	13	13	0

*Should include complaints of previous months resolved in the current month, if any.

**Should include total complaints pending as on the last day of the month, if any.

^Average resolution time is the sum total of time taken to resolve each complaint in the current month divided by total number of complaints resolved in the current month.

Trend of annual disposal of complaints

SN	Year	Carried forward From previous year	Received during the year	Resolved During the year	Pending at The end of the year
1	2019-20	0	13	13	0
2	2020-21	0	5	5	0
3	2021-22	0	12	12	0
4	2022-23	0	3	2	0
5	2023-24	0	6	7	0
	Grand Total	0	39	39	0

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