

ACML CAPITAL MARKETS LIMITED

(Formerly: ASE Capital Markets Limited)

CIN: U67120GJ2000PLC037431 Member: BSE & NSE, SEBI Regn. No.: INZ000260134 CDSL DP: 15200 & NSDL DP: IN302461 SEBI DP Regn. No.: IN-DP-427-2019 GSTIN: 24AADCA4629D1Z4

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Investor Complaints Data - Broker

Data for every month ending Aug-2023

S	Received from	Carrie	Received	Total	Resolve	Pending at the end of		Average
N		d	during	Pendi	d*	the month	**	Resolution
		forwar	the	ng				time^(in days)
		d from	month					
		previo						
		us						
		month						
						Pending	Pending	
						for	for more	
						less than	than 3	
						3 months	month	
							S	
1	2	3	4	5	6	7		8
1	Directly from	0	0	0	0	0		
	Investors							
2	SEBI(SCORES)	0	0	0	0	0		
3	Stock	0	0	0	0	0		0
	Exchanges							
4	Other Sources	0	0	0	0	0		
	(if any)							
5	Grand	0	0	0	0	0		0
	Total							

Trend of monthly disposal of complaints

SN	Month	Carried forward	Received	Resolved*	Pending**
		from previous			
		month			
1	2	3	4	5	6
1	December- 2021	0	2	1	1
2	January - 2022	1	1	2	0
3	February - 2022	0	0	0	0
4	March - 2022	0	1	1	0
5	April - 2022	0	0	0	0
6	May - 2022	0	1	1	0
7	June - 2022	0	1	1	0
8	July – 2022	0	0	0	0
9	August – 2022	0	0	0	0
10	September - 2022	0	0	0	0
11	October – 2022	0	0	0	0
12	November – 2022	0	0	0	0
13	December – 2022	0	0	0	0
14	January – 2023	0	0	0	0
15	February – 2023	0	0	0	0
16	March – 2023	0	1	0	1
17	April – 2023	1	0	1	0
18	May – 2023	0	1	0	1
19	June – 2023	1	0	0	1
20	July – 2023	1	0	1	0
21	Aug – 2023	0	0	0	0
	Grand Total	0	8	8	0

^{*}Should include complaints of previous months resolved in the current month, if any.

^{**}Should include total complaints pending as on the last day of the month, if any.

[^]Average resolution time is the sum total of time taken to resolve each complaint in the current month divided by total number of complaints resolved in the current month.

Trend of annual disposal of complaints

SN	Year	Carried forward From previous	Received during the year	Resolved During the	Pending at The end of the
		year		year	year
1	2019-20	0	13	13	0
2	2020-21	0	5	5	0
3	2021-22	0	12	12	0
4	2022-23	0	3	2	0
5	2023-24	0	1	2	0
	Grand Total	0	34	34	0