



# ACML CAPITAL MARKETS LIMITED

(Formerly : ASE Capital Markets Limited)

CIN : U67120GJ2000PLC037431 Member: BSE & NSE, SEBI Regn. No. : INZ000260134 CDSL DP: 15200 & NSDL DP: IN302461

SEBI DP Regn. No. : IN-DP-427-2019 GSTIN : 24AADCA4629D1Z4

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Ref: SEBI Circular: SEBI/HO/MIRSD/DOP/P/CIR/2021/676 Dated: December 02, 2021

**Annexure B**

Format for Investor Complaints Data to be displayed by Stock Brokers on their respective websites

**Data for every month ending May-2022**

S N	Received from	Carried forward from previous month	Received during the month	Total Pending	Resolved*	Pending at the end of the month**		Average Resolution time^(in days)
						Pending for less than 3 months	Pending for more than 3 months	
1	2	3	4	5	6	7		8
1	Directly from Investors	0	0	0	0	0		
2	SEBI(SCORES)	0	0	0	0	0		
3	Stock Exchanges	0	0	0	0	0		
4	Depository NSDL	0	1	0	1	0		
5	Depository CDSL	0	0	0	0	0		
6	Other Sources (if any)	0	0	0	0	0		0
7	<b>Grand Total</b>	0	1	0	1	0		0

### Trend of monthly disposal of complaints

<b>SN</b>	<b>Month</b>	<b>Carried forward from previous month</b>	<b>Received</b>	<b>Resolved*</b>	<b>Pending**</b>
<b>1</b>	<b>2</b>	<b>3</b>	<b>4</b>	<b>5</b>	<b>6</b>
1	December- 2021	0	2	1	1
2	January - 2022	1	1	2	0
3	February - 2022	0	0	0	0
4	March - 2022	0	1	1	0
5	April - 2022	0	0	0	0
6	May - 2022	0	1	1	0
	<b>Grand Total</b>	1	5	5	0

\*Should include complaints of previous months resolved in the current month, if any.

\*\*Should include total complaints pending as on the last day of the month, if any.

^Average resolution time is the sum total of time taken to resolve each complaint in the current month divided by total number of complaints resolved in the current month.

### Trend of annual disposal of complaints

<b>SN</b>	<b>Year</b>	<b>Carried forward From previous year</b>	<b>Received during the year</b>	<b>Resolved During the year</b>	<b>Pending at The end of the year</b>
1	2021-22	0	4	4	0
2	2022-23	0	1	1	0
	<b>Grand Total</b>	0	5	5	0